Assessment Risk Factors – March 2019



<u>Factor: ADLs/IADLs</u>	<u>Hover Help Text</u>	Examples of Possible Mitigating Services
Assess only the client's physical ability to complete the task (prompting is captured in the Cognition section). "No Impact" in the ADL/IADL section means the client is able to perform the task independently without an assistive device.		
Ambulation (ability to walk about freely)	Minor - Has an unsteady gait or some difficulty walking Significant - Requires an assistive device to ambulate safely	Uses wall/furniture/cane occasionally for support, avoid stairs, requires an assistive device to ambulate safely (cane, walker, wheelchair, etc.).
Bathe, Shower, or Sponge Bathe	Minor - Needs assistive device in bath/shower Significant - Unable to independently complete bathing tasks	Grab bars, shower chair, caretaker/family assistance to bathe/shower/sponge bathe, etc.
Eating and Drinking	Minor - Occasionally has trouble getting food/drink to mouth or needs assistive device Significant - Unable to eat/drink independently	Self-stabilizing tableware, straw. requires caretaker/family assistance, IV nutrition, etc.
Grooming (hair, nails, hygiene)	Minor - Occasionally has trouble grooming or needs assistive device Significant - Unable to complete grooming	One-handed nail trimmer, long handled brush/comb, caretaker/family assistance, etc.
Toileting Self	Minor - Occasionally has incontinence or needs adult diapers but can manage without assistance Significant - Unable to toilet or use diapers independently	Adult diapers, bedside commode, home health, family assistance, etc.
Transferring (chair to bed, bed to walker, etc.)	Minor - Has some difficulty transferring Significant - Unable to transfer without assistance	Uses furniture, assistive device, or momentum to transfer, home health, family assistance, Hoyer lift, etc.
Communicating	Minor - Uses assistive device to express need Significant - Gestures to signify yes/no, needs trained communication assistant, or is unable to express needs in any way	Communication board, tablet, interpreter, blinks, touches, unable to express needs in anyway would be an example of being in a coma, etc.

<u>Factor: ADLs/IADLs</u>	<u>Hover Help Text</u>	Examples of Possible Mitigating Services
(continued)		
Assess only the client's physical ability to complete the task (prompting is captured in the Cognition section). "No Impact" in the ADL/IADL section means the client is able to perform the task independently without an assistive device.		
Physical Ability to Take/Use Medication	Minor - Able to take/use meds w/ assistive technology	Pre-loaded injectable, ez open container, liquid vs tablet, home health, family assistance, etc.
Thysical Ability to Take, ose Medication	Significant - Unable to get med to mouth, apply cream, inject med, or otherwise take/use med	
Housecleaning/Laundry	Minor - Able to complete basic housekeeping/laundry chores with assistive device	Front load washer, extended duster, EZ grabber, home maker service, family assist, etc.
riousecleaning/Launury	Significant - Unable to independently complete housekeeping/laundry chores	
Dressing/Undressing Self	Minor - Able to dress/undress with modified clothing	Elastic waist bands, no buttons, slip on shoes, home health, family assistance, etc.
Preparing Meals	Significant - Unable to dress/undress Minor - Able to prepare meals with modified utensils/appliances	Electric can opener, large/easy grip utensils, lightweight pots/pans, accessible sink, home maker services, home delivered meals, etc.
	Significant - Unable to prepare meals	
Shopping for Food, Necessities	Minor - Able to get to the store and complete shopping needs w/ assistance/assistive device	Needs help reaching items, uses a grabber, home maker service, family assistance, grocery delivery, etc.
	Significant - Unable to complete shopping needs	
Using Available Transportation	Minor - Limited ability to use own or public transportation	Limited night vision, lack of accessible transportation options, caretaker assistance to leave the home, etc.
	Significant - Unable to use transportation	
Using the Phone	Minor - Able to use phone with assistive modifications	Large button phone, pre-programmed numbers, voice command, amplification, caretaker assistance, etc.
	Significant - Unable to use the phone	

Factor: Cognition	Hover Help Text	Examples of Possible Mitigating Services
This section captures the client's cognitive ability to independently manage the various factors. "No Impact" in this area means there are no cognitive deficits and the client is able to complete the factor independently.		
Requires Prompting	Minor - Client needs occasional reminders to remember to complete tasks Significant - Client needs consistent/multiple prompts to complete ADLs/IADLs or other tasks	Med minder, family support, home care, group/host home, assisted living, etc.
Communicating Ideas and Thoughts	Minor - Client can communicate needs and simple ideas but has difficulty formulating complex thoughts/ideas Significant - Client is unable to communicate needs or formulate simple or complex thoughts/ideas	Simplify language, decision maker, etc.
Manages Medication Regimen	Minor - Client needs daily reminder to take medications Significant - Client is unable to manage meds, even with reminders, resulting in under/overdose of medications	Med box, alarm, support from family, calendar, home health, caregiver, etc.
Managing Money	Minor - Difficulty managing bills/resources or understanding her finances Significant - Unable to manage bills/resources or understand his finances, i.e., income source/amount, bills to pay	Auto-pay bills, develop budget, money manager, POA, etc.
Ability to Make Medical Decisions and Manage Health Care	Minor - Needs some help to understand med procedures, insurance, med advice Significant - Unable to understand/make med decisions, recognize consequences, etc.	Caretaker, family assist, proxy decision maker, etc.
Planning and Sequencing	Minor - Complete simple/routine multi-step tasks, e.g., a morning routine, but not complex tasks Significant - Cannot complete multi-step tasks, e.g., plan/make a meal, react to emergency	Prompts, reminder chart, caretaker, assisted living, etc.

<u>Factor: Cognition</u>	<u>Hover Help Text</u>	Examples of Possible Mitigating Services
(continued)		
This section captures the client's cognitive ability to independently manage the various factors. "No Impact" in this area means there are no cognitive deficits and the client is able to complete the factor independently.		
Receiving and Evaluating Information	Minor - Difficulty processing complex information but can understand w/ assist Significant - Cannot process information or take appropriate actions even w/ assist	Complete Medicaid app, assist with end-of-life plan, caretaker, guardianship, etc.
Recognizing Basic Needs	Minor - Occasionally forgets a meal, bath, turn on heat, etc. Significant - Routinely forgets/ignores meals, hygiene,	Reminders, family support, part-time caretaker, caretaker, assisted living, etc.
	medical needs, dressing for weather, etc.	
Awareness of Consequences of Actions/Choices	Minor -Some trouble w/ action/choice impact, e.g., diabetes and poor diet, etc. Significant -Cannot understand action/choice impact, e.g., gives away \$ vs pay bills; drugs in home = eviction, etc.	Education, home maker, decision-maker, SNF, etc.
Orientation to Time/Place/Self	Minor - Sometimes forgets details of events but knows who/where he/she is, etc. Significant - Consistently confused about name/location/date impacting health/safety	Simple reminders/prompts, caretaker, Assisted Living, SNF, etc.
Short or Long-Term Memory Issues	Minor - Some difficulty recognizing/contextualizing acquaintances/events, but can recall with minimal prompting Significant - Forgets family/important events impacting health/safety	Reminders, prompting, HCBS, SNF, guardianship, etc.

<u>Factor: Behavioral Concerns</u>	<u>Hover Help Text</u>	Examples of Possible Mitigating Services
This section captures behavioral concerns that may be a result of other conditions the client has, e.g., dementia, brain injury, mental illness. "No impact" means the client does not have these behaviors or does not have any history of mental illness.		
Inappropriate or Unsafe Behaviors	Minor - Behavior is occasionally inappropriate but does not pose a serious risk to safety Significant - Behaviors put client/community at risk	Prompts, behavioral coaching, caretaker assistance, supervision, guardianship, etc.
Violent/Aggressive Behaviors	Minor - Verbal arguments/empty threats Significant - Frequent physical/verbal altercations resulting in/likely to result in injury, eviction, arrest, etc.	Behavior mod/therapy, mental health, behavior mod/therapy, intensive mental health, etc.
Wandering	Minor - Occasionally becomes confused when going somewhere but eventually finds way Significant - Wanders from home and gets lost	Transportation, supervision, security measures, locked unit, etc.
Compulsive Behavior	Minor - Some compulsive behaviors, but basic needs are still being met Significant - Unable to manage compulsions, i.e., pica, compulsive gambling, obsessive hand washing creating open sores, etc.	Behavior mod, mental health, caretaker, etc.
Delusions	Minor - Delusions do not affect the client/community safety, i.e., believes the government has tapped phone Significant - Delusions disrupt basic needs and/or community	Mental health services, mental health case management, etc.
Hallucinations (auditory and visual)	Minor - Hallucinations do not affect client/community safety Significant - Hallucinations cause client to make unsafe choices and/or put community at risk	Mental health services, mental health hold, supervision, caretaker assistance, etc.
Withdrawn/Loss of Interest/Decreased Social Interaction	Minor - Decreased social interactions/interests Significant - Withdrawn from social interactions/interests	Mental health evaluation, companion, mental health intervention, companion volunteer, etc.

<u>Factor: Behavioral Concerns</u> (continued)	<u>Hover Help Text</u>	Examples of Possible Mitigating Services
This section captures behavioral concerns that may be a result of other conditions the client has, e.g., dementia, brain injury, mental illness. "No impact" means the client does not have these behaviors or does not have any history of mental illness.		
Major Mental Health Concerns, Including Depression, Anxiety, Fears	Minor - Some symptoms of mental health issues that do not interfere with client's safety/health Significant - Symptoms are so severe the client cannot perform basic needs	Depression meds, socialization, intensive mental health intervention, etc.
Recent Loss (death, job, newly disabled, etc.)	Minor - Loss is apparent but is not interfering with client's basic needs Significant - Loss is causing client distress such that basic needs are not met	Family support, companion, mental health intervention, grief counseling, etc.
Substance Use Problems	Minor - Occasional misuse of alcohol or drugs, prescribed or illegal, but does not impair health/safety Significant - Consistently misuses substances resulting in harm to self/others	Education, substance abuse treatment, etc.
Suicidal Ideation	Minor - Passive suicidal thoughts such as, "I don't want to be here anymore," but no intention of taking action Significant - Active suicidal thoughts and plan/means to follow through	Mental health, crisis intervention, mental health, etc.

<u>Factor: Medical</u>	<u>Hover Help Text</u>	Examples of Possible Mitigating Services
Acute and chronic medical illness, conditions, and deficits. "No Impact" means the client has no medical or assistive technology needs for the risk factor.		
Unmet Medical Issue	Minor - Mild medical issue does not prevent client from meeting basic needs Significant - Acute, moderate/severe untreated medical issue, i.e., heart disease, diabetes, COPD, etc.	Medical exam, medical treatment, home health, caretaker, etc.
Chronic Medical Issues	Minor - Mild chronic medical issue, e.g., arthritis, but client can meet basic needs Significant - Severe chronic medical issues, e.g., kidney/heart disease, cancer	Family support, medical exam, medical intervention, caretaker assistance, etc.
Attends All Medical Appointments	Minor - Some missed medical appointments Significant - Consistently misses medical appointments/has not had needed medical care for years	Transportation, doctor home visits, new provider, transportation, urgent care, etc.
Dental Needs	Minor - Mild pain that interferes with ability to eat certain foods Significant - Teeth/gums are neglected, decayed, loss of teeth	Dental exam/treatment, dental care/intervention, dentures, etc.
Hearing	Minor - Mild difficulty hearing Significant - Client has moderate to profound difficulty hearing	Speak loudly, hearing aids, phone amplifier, hearing aids, ASL, cochlear implant, etc.
Vision	Minor - Some difficulty seeing Significant - Moderate to complete vision loss or has a degenerative eye disease	Eye exam, glasses/bifocals, medical intervention, assistive devices, caretaker assistance, etc.
Over/Under Weight	Minor - Over/under weight, does not affect medical conditions/daily tasks Significant - Over/under weight exacerbates/causes medical condition or impairs daily tasks	Ensure, dietician, exercise, med intervention, assistive device, caretaker, etc.

<u>Factor: Home/Residence</u>	<u>Hover Help Text</u>	Examples of Possible Mitigating Services
This is the client's home or where the client lives regularly. It is not a temporary environment, such as a hospital. "No Impact" means the client's home is adequate and there are no safety or health concerns for that factor.		
Available Food, Properly Stored	Minor - Limited food supply; some perishables outdated/moldy Significant - No food in home or food so limited/spoiled that client could not meet minimal dietary needs	Food bank, home delivered meals, SNAP, emergency food, SNAP, etc.
Structural Accessibility of Home/Residence	Minor - Home needs minor modifications to meet client's needs Significant - Home needs major renovation/modifications to meet client's needs	Wheelchair ramp, grab bars, toilet seat riser, widen doorways, install chair lift, walk in shower, etc.
Adequate Living Space for Household Size	Minor - Some overcrowding exists, i.e., there is not enough sleeping space/chairs Significant - Overcrowding impairs/limits movement/rest/sleep/hygiene to extent quality of life is impaired	Add chairs, bed, new home, reduce household size, etc.
Structural Safety	Minor - Living space may have minimal structural issues Significant - Client is not safe to live in home in current condition	Repair roof leaks, storm damage, cracked sidewalk, major professional repairs/demolition, etc.
Biological Hazards	Minor - Client safe to live in home but needs some hazard mitigation Significant - Client is unsafe in residence until mitigation is completed	Minor mold treatment, specialized cleaning services, hazmat, carpets replaced, walls replaced, etc.
Infestation of Vermin	Minor - Pests are occasionally seen in home but do not pose a health risk Significant - Infestation is severe and poses a health risk to client	Traps/bug spray, home cleaning, professional extermination, replace furniture/flooring/walls, etc.

<u>Factor: Home/Residence</u>	<u>Hover Help Text</u>	Examples of Possible Mitigating Services
(continued)		
This is the client's home or where the client lives regularly. It is not a		
temporary environment, such as a hospital. "No Impact" means the client's home is adequate and there are no safety or health concerns		
for that factor.		
Dangerous Objects or Substances	Minor - Home has minimal amounts of dangerous objects/substances	Inappropriately stored chemicals/cleaners, broken glass, poses serious threat to health/safety, etc.
,	Cignificant Home contains large amounts of dangerous	
	Significant - Home contains large amounts of dangerous objects/substances	
	Minor - Home has some overflowing trash/dirty	Housekeeping, home maker services, deep
Excessive Waste/Trash	dishes/clutter in living space	cleaning, organization, trash removal, etc.
Excessive waste, masin	Significant - Home has trash/waste impairing ability to fully utilize living space	
	Minor - Some clear pathways/areas in essential areas	Mental health, specialized cleaning, code enforcement, etc.
Hoarding	Significant - Pathways/essential areas blocked causing problems in daily activities	emoreement, etc.
	Minor - Some fire risks, i.e., cigarette burns in clothing,	Safety education, smoking modification,
Fire Hazards	flammable items near stove, etc.	supervision, disconnect stove, repairs, etc.
THE HULLING	Significant - Previous fire or risk requires immediate intervention	
	Minor - An appliance needs repair but there is alternate option to meet basic needs	Stove top works but oven doesn't, working refrigerator, means to cook food, etc.
Appliances	option to meet basic needs	reingerator, means to cook rood, etc.
	Significant - Appliance necessary for basic need is not functional/non-existent	
	Minor - Residence has some plumbing deficits but they are	Snake the drains, basic plumbing repair, extensive water damage, broken/burst pipes, no
Plumbing	not causing major concern	working toilet, etc.
	Significant - Residence has major plumbing deficits	
Utilities	Minor - Some utilities are not working but do not impact client safety	Reconnect gas to hot H2O heater, pay phone bill, repair heat source, pay utility bill, LEAP, etc.
Othities	Significant - Utilities are disconnected due to non-payment or disrepair	

<u>Factor: Home/Residence</u> (continued)	<u>Hover Help Text</u>	Examples of Possible Mitigating Services
This is the client's home or where the client lives regularly. It is not a temporary environment, such as a hospital. "No Impact" means the client's home is adequate and there are no safety or health concerns for that factor.		
Neighborhood Safety	Minor - Rare/occasional criminal activity may occur in neighborhood but does not pose high risk Significant - Client's neighborhood is a known high-crime area and client safety at risk	New locks, security measures installed, relocation, etc.
Stable Housing	Minor - Client does not have permanent housing or relocates/evicted frequently Significant - Client is chronically homeless or is being evicted	Financial manager, stable housing, alternative housing, emergency housing, permanent housing, etc.

Factor: Financial Circumstances	<u>Hover Help Text</u>	Examples of Possible Mitigating Services
This area captures information related to the client's financial		
situation, such as adequate income to meet basic needs & if		
income/assets are used to meet needs. "No Impact" means the		
client's financial situation doesn't pose any health/safety concerns.		
	Minor - Client cannot easily access income and/or financial	Access to debit card, change bank location,
	information independently	financial manager, POA, etc.
Ability to Access Funds and Financial Information		
	Significant - Client is unable to access income/financial	
	information independently	
	Minor - Income is sufficient for basic needs but not for	Family assistance, low income housing, SNAP,
	unexpected costs	low income housing, SNAP, LEAP, SSI, OAP, etc.
Having Adequate Income		
	Significant - Client doesn't have sufficient funds to meet basic needs	
	Minor - Client has medical insurance but is not	QMB, Part D, Medicaid, supplemental insurance,
	comprehensive	etc.
Adequate Health Care Coverage	Comprehensive	CCC.
	Significant - No insurance/insufficient to cover essential	
	medical needs	
	Minor - Client has occasionally paid bills late	Set up auto-pay for bills, family assistance with
Unpaid Bills		bill paying, rep payee, conservator, etc.
onpaid 5iiis		
	Significant - Client consistently has unpaid bills	
	Minor - Client can no longer afford other than basic needs, i.e., cable, recreational activities, etc.	Rent help, renegotiate bills, Medicaid spend down, SNAP, LEAP, etc.
Change in Financial Circumstances	i.e., cable, recreational activities, etc.	down, SNAP, LEAP, etc.
Change in Financial Circumstances	Significant - Change results in loss of benefits/ability to	
	meet basic needs	
	Minor - Occasionally client cannot afford basic needs due	Budgeting education, money manager,
	to purchasing non-essential items	conservator, etc.
Uses Income/Assets to Meet Basic Needs		
	Significant - Client consistently does not meet basic needs	
	due to buying non-essential items	

<u>Factor: Mistreatment</u>	<u>Hover Help Text</u>	Examples of Possible Mitigating Services
These risk factors specifically address client's safety as related to physical/sexual abuse, caretaker neglect, exploitation, and possible criminal activity. "No Impact" means the client has not experienced/is not experiencing the risk factor.		
Illegal or Unsafe Activity in the Home	Minor - Some illegal/unsafe activity but not an imminent risk, i.e., misdemeanor crimes Significant - Illegal/unsafe activity impairs safety, i.e., physical fights, drug manufacturing, etc.	Safety plan, protection order, police, evict perps, etc.
Physical Pain or Injury	Minor - Experienced pain as a result of abuse Significant - Visible marks, injuries, sores, broken bones, etc. as a result of abuse	Caretaker retraining, new caretaker, medical treatment, remove caretaker, police, protective order, etc.
Unreasonable Confinement or Restraint	Minor - Unnecessarily confined to a recliner/bed/wheelchair most of the day Significant - Locked in room, improper use of physical/chemical restraint not approved in care plan	Education, new caretaker, police, new caretaker, medical care, etc.
Not Following Care Plan/Standards	Minor - Care plan not followed but has not caused injury or increased medical concerns Significant - Care plan not followed causing injury/pain or worsens medical/other conditions	Education, reminders, police, med care, new caretaker, etc.
Harassment, Threats, Intimidation	Minor - Avoids situations with a person due to fear of harassment/threat/intimidation Significant - Acts as a result of threat/intimidation/harassment by another, e.g., must give money to avoid SNF	New caretaker, police, new caretaker, etc.
Sexual Acts, Innuendo, Pornography	Minor - Perp makes sexual innuendos but has not yet inappropriately touched or performed sexual acts Significant - Perp has performed or coerced client to perform sexual acts or watch pornography	New caretaker, police, new caretaker, etc.
Income/Assets Being Used by Other People	Minor - Money/assets being used by other person, i.e., living w/ client & not helping w/ household costs Significant - Money/assets are being depleted by other person	Eviction, rental agreement, conservator, rep payee, police, etc.

<u>Factor: Mistreatment</u>	Hover Help Text	Examples of Possible Mitigating Services
(continued)		
These risk factors specifically address client's safety as related to		
physical/sexual abuse, caretaker neglect, exploitation, and possible		
criminal activity. "No Impact" means the client has not		
experienced/is not experiencing the risk factor.		
	Minor - Client approached by & interested in lottery/scam	Education re: scams/frauds, cancel credit cards,
	but has not committed funds	change phone #, financial mgr., police, etc.
Scams/Frauds/Lotteries		
	Significant - Client participates/participated in	
	lottery/scam	